On the Weight and Stopping Set Size Distributions of LDPC Codes and their Generalizations

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Abstract—This paper overviews and connects together recent results by the authors regarding the weight and stopping set size distributions of generalizations of low-density parity-check (LDPC) codes. We begin by reviewing a result which allows for evaluation of the growth rate of the weight distribution for codewords of any weight (linear in the codeword length). We then show how asymptotic analysis of this general result can lead to a result which encapsulates the behaviour of the growth rate of the weight distribution for the case of small linear-weight codewords. We then show that many existing results in the literature along this line may be viewed as special cases of this general result.

I. INTRODUCTION

Recently, low-density parity-check (LDPC) codes have been intensively studied due to their near-Shannon-limit performance under low-complexity belief-propagation decoding. Regular LDPC codes were first proposed by Gallager in 1963 [1]. In the last decade, the capability of irregular LDPC codes to outperform regular ones in the waterfall region of the performance curve and to asymptotically approach (or even achieve) the communication channel capacity has been recognized and deeply investigated (see for instance [2]-[5]).

It is usual to represent an LDPC code as a bipartite graph, also known as a Tanner graph [6], where the nodes are grouped into two disjoint sets, namely, the variable nodes (VNs) and the check nodes (CNs), such that each edge may only connect a VN to a CN. In the Tanner graph of an LDPC code, a generic degree-$q$ VN can be interpreted as a length-$q$ repetition code, while a degree-$s$ CN can be interpreted as a length-$s$ single parity-check (SPC) code.

Prior to the rediscovery of LDPC codes, generalized LDPC (GLDPC) codes were introduced by Tanner in 1981 [6]. A GLDPC code generalizes the concept of an LDPC code in that a degree-$s$ CN may in principle be any $(s,h)$ linear block code, $s$ being the code length and $h$ the code dimension. Such a CN accounts for $(s-h)$ linearly independent parity-check equations. A CN associated with a linear block code which is not a repetition code is said to be a generalized CN.

Generalized LDPC codes represent a promising solution for low-rate channel coding schemes, due to an overall rate loss introduced by the generalized CNs [7]. Doubly-generalized LDPC (D-GLDPC) codes generalize the concept of GLDPC codes while facilitating greater design flexibility in terms of code rate [8]-[11]. In a D-GLDPC code, the VNs as well as the CNs may be of any generic linear block code types. A degree-$q$ VN may in principle be any $(q,k)$ linear block code, $q$ being the code length and $k$ the code dimension. Such a VN is associated with $k$ D-GLDPC code bits. It interprets these bits as its local information bits and interfaces to the CN set through its $q$ local code bits. A VN which corresponds to a linear block code which is not a repetition code is said to be a generalized VN. A D-GLDPC code is said to be regular if all of its VNs are of the same type and all of its CNs are of the same type and is said to be irregular otherwise\(^1\). The structure of a D-GLDPC code is depicted in Fig. 1.

![Structure of a D-GLDPC code](image)

\(^1\)Note that VNs associated with different representations of the same linear block code (i.e. with different generator matrices) are regarded as belonging to different types.
the growth rate for irregular LDPC codes was developed. The growth rate of the weight distribution of binary GLDPC codes was investigated in [15]–[18]. Related results for expander codes were developed in [19]–[21]. Also, [24] investigates the asymptotic weight enumerators of many LDPC-like codes including turbo codes and repeat-accumulate codes.

In this paper, an overview is provided of recent results by the authors regarding the growth rate of the weight distribution of D-GLDPC codes. First, a result which allows for evaluation of the growth rate for codewords of any weight is reviewed. It is then shown how asymptotic analysis of this general result can lead to a result which encapsulates the behaviour of the growth rate in the case of small linear-weight codewords. We then provide a series of corollaries which contain many existing results in the literature as special cases.

We also stress that all of the results in this paper may be extended to the growth rate of the stopping set size distribution. For the definition of stopping sets of LDPC codes, we refer the reader to [22], [23].

II. IRREGULAR DOUBLY-GENERALIZED LDPC CODE ENSEMBLE

We define a D-GLDPC code ensemble $\mathcal{M}_n$ as follows, where $n$ denotes the number of VNs. There are $n_c$ different CN types $t \in I_c = \{1, 2, \cdots, n_c\}$, and $n_v$ different VN types $t \in I_v = \{1, 2, \cdots, n_v\}$. For each CN type $t \in I_c$, we denote by $h_t$, $s_t$ and $r_t$ the CN dimension, length and minimum distance, respectively. For each VN type $t \in I_v$, we denote by $k_t$, $q_t$ and $p_t$ the VN dimension, length and minimum distance, respectively. For $t \in I_c$, $\rho_t$ denotes the fraction of edges connected to CNs of type $t$. Similarly, for $t \in I_v$, $\lambda_t$ denotes the fraction of edges connected to VNs of type $t$. Note that all of these variables are independent of $n$.

The polynomials $\rho(x)$ and $\lambda(x)$ are defined by
\[
\rho(x) = \sum_{t \in I_c} \rho_t x^{h_t-1}.
\]
and
\[
\lambda(x) = \sum_{t \in I_v} \lambda_t x^{q_t-1}.
\]
If $E$ denotes the number of edges in the Tanner graph, the number of CNs of type $t \in I_c$ is then given by $E \rho_t / s_t$, and the number of VNs of type $t \in I_v$ is then given by $E \lambda_t / q_t$. Denoting as usual $\int_0^1 \rho(x) \, dx$ and $\int_0^1 \lambda(x) \, dx$ by $\int \rho$ and $\int \lambda$ respectively, we see that the number of edges in the Tanner graph is given by
\[
E = \frac{n}{\int \lambda}
\]
and the number of CNs is given by $m = E \int \rho$. Therefore, the fraction of CNs of type $t \in I_c$ is given by
\[
\gamma_t = \frac{\rho_t}{s_t \int \rho}.
\]
and the fraction of VNs of type $t \in I_v$ is given by
\[
\delta_t = \frac{\lambda_t}{q_t \int \lambda}.
\]
Also the length of any D-GLDPC codeword in the ensemble is given by
\[
N = \sum_{t \in I_c} \left( \frac{E \lambda_t}{q_t} \right) k_t = \frac{n}{\int \lambda} \sum_{t \in I_c} \lambda_t k_t q_t.
\]
Note that this is a linear function of $n$. Similarly, the total number of parity-check equations for any D-GLDPC code in the ensemble is given by
\[
M = \frac{m}{\int \rho} \sum_{t \in I_c} \rho_t (s_t - h_t) / s_t.
\]
A member of the ensemble then corresponds to a permutation on the $E$ edges connecting CNs to VNs. The design rate of the D-GLDPC ensemble is given by
\[
R = 1 - \frac{N}{M} = 1 - \frac{\sum_{t \in I_c} \rho_t (1 - R_t)}{\sum_{t \in I_v} \lambda_t R_t}
\]
for where $t \in I_c$ (resp. $t \in I_v$), $R_t$ is the local code rate of CNs (resp. VNs) of type $t$.

The growth rate of the weight distribution of the irregular D-GLDPC ensemble sequence $\{\mathcal{M}_n\}$ is defined by
\[
G(\alpha) = \lim_{n \to \infty} \frac{1}{n} \log \mathbb{E} M_n [N_{\alpha n}]
\]
where $\mathbb{E} M_n$ denotes the expectation operator over the ensemble $\mathcal{M}_n$, and $N_{\alpha n}$ denotes the number of codewords of weight $w$ of a randomly chosen D-GLDPC code in the ensemble $\mathcal{M}_n$.

The limit in (5) assumes the inclusion of only those positive integers $n$ for which $\alpha n \in \mathbb{Z}$ and $\mathbb{E} M_n [N_{\alpha n}]$ is positive (i.e., where the expression whose limit we seek is well defined). Note that the argument of the growth rate function $G(\alpha)$ is equal to the ratio of the D-GLDPC codeword length to the number of VNs; by (3), this captures the behaviour of codewords linear in the block length, as in [14] for the LDPC case.

For any irregular D-GLDPC ensemble sequence with growth rate of the weight distribution $G(\alpha)$, we define the critical ratio $\alpha^* = \inf \{ \alpha > 0 \mid G(\alpha) \geq 0 \}$. A positive critical ratio $\alpha^*$ is an important first-order property of a D-GLDPC code ensemble.

III. FURTHER DEFINITIONS AND NOTATION

The weight enumerating polynomial for CN type $t \in I_c$ is given by
\[
A^{(t)}(x) = \sum_{u=0}^{s_t} A^{(t)}_{u} x^u = 1 + \sum_{u=r_t}^{s_t} A^{(t)}_{u} x^u.
\]
Here $A^{(t)}_u \geq 0$ denotes the number of weight-$u$ codewords for CNs of type $t$. Note that $A^{(t)}_{r_t} > 0$ for all $t \in I_c$.

The bivariate weight enumerating polynomial for VN type $t \in I_v$ is given by
\[
B^{(t)}(x, y) = \sum_{u=0}^{k_t} \sum_{v=0}^{q_t} B^{(t)}_{u,v} x^u y^v = 1 + \sum_{u=1}^{k_t} \sum_{v=0}^{q_t} B^{(t)}_{u,v} x^u y^v.
\]
Here $B^{(t)}_{u,v} \geq 0$ denotes the number of weight-$u$ codewords generated by input words of weight $v$, for VNs of type $t$. Also,
for each \( t \in I_e \), corresponding to the polynomial \( B_t^{(i)}(x, y) \), we denote the sets
\[
S_t = \{(i, j) \in \mathbb{Z}^2 : B_{t,ij}^{(i)} > 0\} 
\]
and
\[
S_t^{-1} = S_t \setminus \{(0, 0)\}. 
\]
We denote the smallest minimum distance over all CN types by
\[
r = \min \{ r_t : t \in I_e \} \geq 2 
\]
and note that we have
\[
\varphi = r/(r - 1) 
\]
and that in the case \( r = 2 \), \( 0 \leq \varphi \leq 2 \) with equality if and only if \( r = 2 \). We also define the (positive) parameter
\[
C = r \sum_{t \in I_e} \frac{\rho_t A_{t}^{(i)}}{s_t}. 
\]
Similarly, we denote the smallest minimum distance over all VN types by
\[
p = \min \{ p_t : t \in I_v \} \geq 2 
\]
and the set of VN types with this minimum distance by
\[
X_v = \{ t \in I_v : p_t = p \} . 
\]
We define the parameter
\[
T = \min_{t \in I_v} \min_{(i, j) \in S_t^{-1}} \left\{ \frac{j - \psi}{i} \right\} 
\]
and the set
\[
Y_v = \left\{ t \in I_v : \min_{(i, j) \in S_t^{-1}} \left\{ \frac{j - \psi}{i} \right\} = T \right\} . 
\]
Since \( 1 < \psi \leq 2 \) with equality if and only if \( r = 2 \), \( j \geq p \geq 2 \) for all \( t \in I_e \), \( (i, j) \in S_t^{-1} \), it follows that \( T \geq 0 \) with equality if and only if \( r = 2 \). Also, for \( t \in Y_v \), define
\[
P_t = \left\{ (i, j) \in S_t^{-1} : \frac{j - \psi}{i} = T \right\} . 
\]
Note that in the specific case \( r = p = 2 \), we have \( T = 0 \) and \( Y_v = X_v \), and we may write \( P_t = \{(i, 2) : i \in L_t\} \) where \( L_t = \{ i \in \mathbb{Z} : B_{t,ij}^{(i)} > 0 \} \) for each \( t \in X_v \) – note that these sets are nonempty.

We define the polynomials
\[
Q_1(x) = \sum_{t \in Y_v} \frac{\lambda_t}{q_t} \sum_{(i, j) \in P_t} j B_{t,ij}^{(i)} C_{ij}/r \left( \frac{\lambda}{e} \right)^{iT/\psi} x^i 
\]
and
\[
Q_2(x) = \sum_{t \in Y_v} \frac{\lambda_t}{q_t} \sum_{(i, j) \in P_t} i B_{t,ij}^{(i)} C_{ij}/r \left( \frac{\lambda}{e} \right)^{iT/\psi} x^i . 
\]
Since all of the coefficients of \( Q_1(x) \) and \( Q_2(x) \) are positive, these polynomials are both monotonically increasing on \([0, \infty)\) and therefore their inverses, denoted by \( Q_1^{-1}(x) \) and \( Q_2^{-1}(x) \) respectively, are well-defined and unique on this interval. Note that in the case \( r = p = 2 \), we have
\[
Q_1(x) = C \cdot P(x) 
\]
where
\[
P(x) = 2 \sum_{t \in I_v} \frac{\lambda_t}{q_t} \sum_{i \in L_t} B_{t,ij}^{(i)} x^i . 
\]
Also note that in the case \( r = p = 2 \), (9) becomes
\[
C = 2 \sum_{t \in I_v} \frac{\rho_t A_{t}^{(i)}}{s_t} 
\]
and we define the (positive) parameter
\[
V = 2 \sum_{t \in I_v} \frac{\lambda_t B_{t}^{(i)}}{q_t} 
\]
as the counterpart of the parameter \( C \) in the variable node domain. Here \( B_{t}^{(i)} = \sum_{i \in L_t} B_{t,ij}^{(i)} \) is the total number of weight-2 codewords for VNs of type \( t \). Note that in this case the parameter \( C \) depends only on the CNs with minimum distance 2, and the parameter \( V \) and the polynomial \( P(x) \) depend only on the VNs with minimum distance 2. Also note that while the polynomial \( P(x) \) given by (14) depends on the VN representations (i.e. generator matrices), the parameter \( V \) given by (16) does not.

Finally, throughout this paper, the notation \( e = \exp(1) \) denotes Napier’s number.

IV. GROWTH RATE FOR DOUBLY-GENERALIZED LDPC CODE ENSEMBLE

The following theorem, proved in [26], provides an exact characterization of the growth rate of the weight distribution for a general range of \( \alpha \).

**Theorem 4.1:** The growth rate of the weight distribution of the irregular D-GLDPC ensemble sequence \( \{ M_n \} \) is given by
\[
G(\alpha) = \sum_{t \in I_e} \delta_{t} \log B_t^{(i)}(x_0, y_0) - \alpha \log x_0 + \left( \int \frac{\rho}{\lambda} \right) \sum_{s \in L} \gamma_s \log A(s)(z_0) + \log \left( \frac{1 - \beta}{\beta} \right) \int \lambda 
\]
where \( x_0, y_0, z_0 \) and \( \beta \) are the unique positive real solutions to the \( 4 \times 4 \) system of polynomial equations\(^2\)\(^\text{2}\)
\[
z_0 \left( \int \frac{\rho}{\lambda} \right) \sum_{s \in L} \frac{\gamma_s}{A(s)(z_0)} = \beta \quad (18),
\]
\[
x_0 \sum_{t \in I_e} \frac{\delta_{t}}{B_t^{(i)}(x_0, y_0)} = \alpha \quad (19),
\]
\(^2\)Note that while (18), (19) and (20) are not polynomial as set down here, each may be made polynomial by multiplying across by an appropriate factor.
It is important to note that this always yields a system of 4 equations in 4 unknowns, regardless of the number of different CN and VN types.

The following theorem characterizes the asymptotic behaviour of the growth rate function of Theorem 4.1 as \( \alpha \to 0 \).

**Theorem 4.2:** Consider an irregular D-GLDPC code ensemble sequence \( \mathcal{M}_n \). For sufficiently small \( \alpha \), the growth rate of the weight distribution is given by

\[
G(\alpha) = \frac{T}{\psi} \alpha \log \alpha + \alpha \left[ \log \left( \frac{1}{Q_1^{-1}(1)} \right) \right. \\
+ \frac{T}{\psi} \left. \log \left( \frac{1}{Q_2(Q_1^{-1}(1))} \right) \right] + O(\alpha^2) .
\]

A rigorous proof of this theorem is given in [27]; however we may justify this result in the context of Theorem 4.1 through the reasoning given in the next section.

**V. Solution for small linear-weight codewords**

In this section we analyze Theorem 4.1 in the case \( \alpha \approx 0 \). We prove a weaker form of Theorem 4.2, namely

**Proposition 5.1:** For \( \alpha \approx 0 \),

\[
G(\alpha) \approx \frac{T}{\psi} \alpha \log \alpha + \left[ \log \left( \frac{1}{Q_1^{-1}(1)} \right) \right. \\
+ \frac{T}{\psi} \left. \log \left( \frac{1}{Q_2(Q_1^{-1}(1))} \right) \right] = O(1) .
\]

The expression on the left-hand side is a rational polynomial in \( x_0 \) and \( y_0 \), whose denominator tends to unity as \( \alpha \to 0 \). Next, consider (20) as \( \alpha \to 0 \). The expression on the left-hand side is a rational polynomial in \( x_0 \) and \( y_0 \), whose denominator tends to unity as \( \alpha \to 0 \). Therefore (20) becomes

\[
\sum_{t \in I_0} \delta_t \sum_{i,j} jB_{i,j}(t)x_0y_0 = \beta ,
\]

which, using (9), may be expressed as

\[
z_0 = \left( \frac{\beta \int \lambda}{C} \right)^{1/r} .
\]

Also note that as \( \alpha \to 0 \), \( (21) \) becomes

\[
z_0y_0 = \beta \int \lambda .
\]

Combining (26) with (25) and using (8) yields

\[
y_0 = C^{1/r} \left( \beta \int \lambda \right)^{1/\psi} .
\]
Note that $\beta$ is a linear function of $\alpha$ (asymptotically as $\alpha \to 0$). Note also that this implies (via (30)) that $x_0$ is proportional to $\alpha^{-T/\psi}$ and (via (27)) that $y_0$ is proportional to $\alpha^{1/\psi}$, and therefore $x_0^0 y_0^0$ is proportional to $\alpha$ for all $t \in Y_v$, $(i,j) \in P_t$ (as $j = iT + \psi$ for all such $(i,j)$).

Finally, we consider $G(\alpha)$ given by (17) for $\alpha \approx 0$. Using (30), along with the approximation $\log(1 + t) \approx t$ for $t \approx 0$, we obtain

$$G(\alpha) \approx \sum_{t \in I_c} \delta t \left( \sum_{(i,j) \in P_t} B_{i,j}^{(t)} x_0^t y_0^t - \alpha \log x_0^t \right) + \int \frac{p}{\lambda} \sum_{t \in I_c} \gamma t \sum_{u=r_2}^{\alpha} A_{u}^{(t)} y_0^t - \beta \right) \quad (34)$$

The polynomial in $z_0$ is dominated as $\alpha \to 0$ by the term corresponding to the lowest power of $z_0$. Also, the bivariate polynomial in $x_0$ and $y_0$ is dominated as $\alpha \to 0$ by the terms corresponding to $x_0^0 y_0^0$ for $t \in Y_v$, $(i,j) \in P_t$. Therefore we may write

$$G(\alpha) \approx \sum_{t \in I_c} \delta t \left( \sum_{(i,j) \in P_t} \left( \frac{j - iT}{\psi} \right) B_{i,j}^{(t)} x_0^t y_0^t - \alpha \log x_0^t \right) + \frac{\alpha T}{\psi} (1 + \log \beta) + \int \frac{p}{\lambda} \sum_{t \in I_c} \gamma t \sum_{u=r_2}^{\alpha} A_{u}^{(t)} y_0^t - \beta \right) \quad (35)$$

where we have used the fact that $j = iT + \psi$ for all $t \in Y_v$, $(i,j) \in P_t$. This then simplifies to

$$G(\alpha) \approx \frac{\beta - T\alpha}{\psi} - \alpha \log x_0^t + \frac{\alpha T}{\psi} (1 + \log \beta) + \frac{\beta}{r} - \beta \quad (36)$$

where we have used (24), (28) and (31). Combining terms and using (29), (33) and (8), leads to (23).

VI. DISCUSSION AND COROLLARIES

Note that from Theorem 4.2, only the VN input-output weight enumerating function coefficients $B_{i,j}^{(t)}$ such that $(i,j)$ lies in one of the sets $P_t$ ($t \in I_c$) make a contribution to the growth rate of the weight distribution. It is instructive to consider the following geometric construction of these ‘dominant’ sets in the $(i,j)$ plane. A line $L$ through the fixed point $(0,\psi)$ is rotated in an anticlockwise fashion until it comes in contact with one or more of the points $(i,j) \in S_t^{(-)}$, $t \in I_c$. The slope of the line $L$ at this point is defined as $T$, the set of $t \in I_c$ which have points $(i,j) \in L$ are defined as $Y_v$, and for each such set the set of such points on $L$ is defined as $P_t$. This interpretation is illustrated in Figure 2 for an example D-GLDPC code with three VN types $I_c = \{R,G,B\}$. Note that the position of the fixed point depends on the smallest CN minimum distance, and always lies somewhere on the line segment joining $(0,1)$ and $(0,2)$ (including the latter endpoint).

We next provide a series of corollaries to Theorem 4.2; this serves to illustrate the manner in which several related results in the literature follow as special cases of this general result.

**Corollary 6.1:** In the case where either $r > 2$ or $p > 2$, for sufficiently small $\alpha$ the growth rate of the weight distribution is given by

$$G(\alpha) = \frac{T}{\psi} \alpha \log \alpha + O(\alpha)$$

where $T > 0$.

Thus the code has a positive critical ratio $\alpha^*$ in this case. This generalizes results along this line in [17], [18], [19]. A special case of Corollary 6.1, which occurs in many D-GLDPC code ensembles, is as follows.

**Corollary 6.2:** Suppose $r > 2$ or $p > 2$ and also $\cup_{t \in I_c} P_t = \{(i,j)\}$ for a single point $(i,j)$, i.e. a single point $(i,j)$ achieves the minimum in (10) although this $(i,j)$ may be manifest in different VN types $t \in Y_v$. Then, for sufficiently small $\alpha$

$$G(\alpha) = \frac{T}{\psi} \alpha \log \alpha + K\alpha + O(\alpha^2)$$

where $K$ is given by

$$K = \frac{1}{i} \left[ \log \left( i \sum_{t \in Y_v} B_{i,j}^{(t)} \delta t \right) + \frac{r}{\psi} \log C + \frac{1}{\psi} \log \left( \frac{\lambda}{i} \right) \right]^{1/2}$$

**Corollary 6.3:** Consider a GLDPC code ensemble with irregular CN set and irregular VN set (i.e. different VN degrees). In this case, $p$ denotes the minimum VN length. Then, for sufficiently small $\alpha$

$$G(\alpha) = \left( p - \frac{p}{R} - 1 \right) \alpha \log \alpha + K\alpha + O(\alpha^2)$$

where

$$K = \log \left( e \sum_{t \in Y_v} B_{i,j}^{(t)} \delta t \right) + \frac{p}{R} \log C + \frac{p}{\psi} \log \left( \frac{p}{e} \right)$$
This provides a generalization of the result of [17] which derived (40) for the case of GLDPC codes with regular CN sets and irregular VN degrees, and which did not include the result (41) regarding the evaluation of the parameter \( K \).

**Corollary 6.4:** Consider a D-GLDPC code ensemble \( \mathcal{M}_n \) satisfying \( r = p = 2 \). Then, for sufficiently small \( \alpha \), the growth rate of the weight distribution is given by

\[
G(\alpha) = \alpha \log \left( \frac{1}{P(1/C)} \right) + O(\alpha^2) \tag{42}
\]

where the polynomial \( P(x) \) and the parameter \( C \) are given by (14) and (15) respectively.

Note that in this special case the growth rate depends only on the CNs and VNs with minimum distance equal to 2. Note also that (42) is a first-order Taylor series around \( \alpha = 0 \) which directly generalizes the results of [14] and [18] (for irregular LDPC and GLDPC codes respectively) to the case of irregular D-GLDPC codes. Corollary 6.4 first appeared in [25].

**Corollary 6.5:** Consider a D-GLPDC code ensemble \( \mathcal{M}_n \) satisfying \( r = p = 2 \). Then, a necessary and sufficient condition for \( \mathcal{M}_n \) to have a positive critical ratio \( \alpha^\star \) is

\[
C \cdot V < 1 \tag{43}
\]

where \( C \) and \( V \) are given by (15) and (16) respectively.

Note that Corollary 6.5 generalizes a result of [14] which states that an irregular LDPC code ensemble has positive critical ratio if and only if \( X(0)/\rho(1) < 1 \), where \( X(x) \) and \( \rho(x) \) respectively denote the edge-perspective VN and CN degree distributions.

Finally, note that although we have presented our results in the context of the weight distribution, all of the results in this paper may be extended in a straightforward manner to cover the growth rate of the stopping set size distribution. Stopping sets are defined in the context of iterative decoding over the binary erasure channel (BEC), and in the case of generalized check and variable nodes the definition of stopping set depends on the decoding algorithm used to locally recover from erasures. The relevant definitions for D-GLDPC codes are given in [27, Appendix II].

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